

FORM 2
SUBMITTAL CHECKLIST AND TABLE OF CONTENTS

The materials and information listed on this checklist shall be submitted as part of the submittal. Failure to submit any of the requested materials or provide adequate explanation may eliminate the submittal from consideration.

Materials shall be included in the submittal in the order identified on the checklist. Identify the corresponding page numbers in the space provided.

Page No.	Form No.	Form Title
	No Form	Executive Summary
	Form 1	Submittal Cover Sheet / Signature Page – Indexed as Tab “1”
	Form 2	Submittal Checklist (Table of Contents) – Indexed as Tab “2”
	Form 3	General Questionnaire – Indexed as Tab “3”
	Form 4	Discretionary Contracts Disclosure Form – Indexed as Tab “4”.
	Form 5	Litigation Disclosure Form – Indexed as Tab “5”
	Form 6	SBEDA Subcontractor Utilization Commitment Form labeled as Tab “6” to the submittal
	No Form	Statement of Qualifications in narrative form that addresses items in Section VIII of the RFQ. This portion is limited to 10 pages not including forms and attachments – Indexed as Tab “7”
	No Form	Detailed Team Organizational Chart labeled as Tab “8” to the submittal
	No Form	Proof of Insurability and Bondability – Letters from Insurance Carrier and/or Bonding Company and Insurance Certificate as required by Section IV, Paragraph L of the RFQ, indexed as Tab “9” to the submittal.
	No Form	Resumes of key personnel (optional) labeled as Tab “10” to the submittal
	No Form	Letters of Reference (optional) labeled as Tab “11” to the submittal
Submission includes one (1) unbound original proposal signed in ink, seven (7) printed copies (total of 8) and one PDF version on CD. Check Here: _____		

**FORM 3
GENERAL QUESTIONNAIRE**

1. **Respondent Information:** Provide the following information regarding the Respondent. (NOTE: Co-Respondents are two or more entities proposing as a team or joint venture with each signing the contract, if awarded. Sub-contractors are not Co-Respondents and should not be identified here. If this proposal includes partners of a Joint Venture or Co-Respondents, provide a completed General Questionnaire, Form 3 for each partner of the Joint Venture or Co-Respondent. If a Joint Venture or Partnership exists attach the Joint Venture or Partnership Agreement. If a Joint Venture or Partnership shall be formed after contract award, attach a letter signed by all proposed parties to the Joint Venture stating the firms' intent.

1.1 **Respondent Name:** _____

(NOTE: Give exact legal name as it will appear on the contract, if awarded.)

Principal Address: _____

City: _____ State: _____ Zip Code: _____

Telephone No. _____ Fax No: _____

e-mail address: _____

List here, any other names under which Respondent, Joint Venture Party, Team Co-Respondent or Partner has operated within the last 10 years.
(add space as needed)

1.2 **Business Structure:** Check to indicate the business structure of the Respondent.

Individual or Sole Proprietorship

If checked, list Assumed Name, if any:

Partnership

Joint Venture

Corporation If checked, check one: For-Profit Nonprofit

Also, check one: Domestic Foreign

Other If checked, list business structure: _____

1.3 **Ownership:** Does Respondent, each joint venture party, team or partner anticipate any mergers, transfer of organization ownership, management reorganization, or departure of key personnel within the next twelve (12) months? Yes No

1.4 Is Respondent, joint venture party, team or partner authorized and/or licensed to do business in Texas?

Yes No If "Yes", list authorizations/licenses for respondent and each joint venture party, team or partner.

1.5 Where is the Respondent's or each joint venture party, team or partner's corporate headquarters located? _____

1.6 **Local Operation:** Does the Respondent or each joint venture party, team or partner have

an office located in San Antonio, Texas?

Yes No If "Yes", respond to **a.** and **b.** below:

a. How long has the Respondent or each joint venture party, team or partner conducted business from its San Antonio office?

Years _____ Months _____

b. State the number of full-time employees of Respondent or each joint venture party, team or partner at the San Antonio office. _____

1.7 **County Operation:** If the Respondent or any joint venture party, team or partner does not have a San Antonio office, does the Respondent or any joint venture party, team or partner have an office located in Bexar County, Texas?

Yes No If "Yes", respond to **a.** and **b.** below:

a. How long has the Respondent or any joint venture party, team or partner conducted business from its Bexar County office?

Years _____ Months _____

b. State the number of full-time employees of the Respondent or any joint venture party, team or partner at the Bexar County office. _____

1.8 **Firm's Availability:** When can firm start work? _____

Please provide a list of all Contracts in effect through December 2011 and the value of each (add additional space as necessary):

1.9 List the value in dollars of all Contracts/projects of Respondent and or each joint venture party, team or partner completed in the Previous 5 years:

	Respondent	Joint Venture Party/Team/Partner	Joint Venture Party/Team/Partner
2011	_____	_____	_____
2010	_____	_____	_____
2009	_____	_____	_____
2008	_____	_____	_____
2007	_____	_____	_____
2006	_____	_____	_____

1.10 **Debarment/Suspension Information:** Has the Respondent, any joint venture party, team or any partner or any of its principals been debarred or suspended from contracting with any public entity?

Yes No

If "Yes", identify the public entity and the name and current phone number of a representative of the public entity familiar with the debarment or suspension, and state the reason for or circumstances surrounding the debarment or suspension, including but not limited to the period of time for such debarment or suspension.

1.11 **Disciplinary Action:** Has the Respondent ever received any disciplinary action, or any pending disciplinary action, from any regulatory bodies or professional organizations? If "Yes", state the name of the regulatory body or professional organization, date and reason for disciplinary or impending disciplinary action.

1.12 **Bankruptcy Information:** Has the Respondent or any joint venture party, team or partner ever been declared bankrupt or filed for protection from creditors under state or federal proceedings?

Yes No

If "Yes", state the date, court, jurisdiction, cause number, amount of liabilities and amount of assets.

2. General Experience:

2.1 How many years has Respondent and each joint venture party, team or partners been doing business? _____years.

2.2 How many years has Respondent and each joint venture party, team or partners been doing business under previous business name(s)? _____years

3. Previous Contracts:

3.1 Has the Respondent, any joint venture party, team or partner ever failed to complete any contract awarded?

Yes No

If "Yes", state the name of the organization contracted with, services contracted, date, contract amount and reason for failing to complete the contract.

3.2 Has any officer or partner proposed for this assignment ever been an officer or partner of some other organization that failed to complete a contract?

Yes No

If "Yes", state the name of the individual, organization contracted with, services contracted, date, contract amount and reason for failing to complete the contract.

3.3 Has any officer or partner proposed for this assignment ever failed to complete a contract handled in his or her own name?

Yes No

If "Yes", state the name of the individual, organization contracted with, services contracted, date, contract amount and reason for failing to complete the contract.

FORM 4
City of San Antonio
DISCRETIONARY CONTRACTS DISCLOSURE*

*For use of this form, see [Section 2-59 through 2-61 of the City Code \(Ethics Code\)](#)
Attach additional sheets if space provided is not sufficient.*

Discretionary Contracts Disclosure Form may be downloaded at:

<https://www.sanantonio.gov/eforms/atty/DiscretionaryContractsDisclosure.pdf>.

Instructions for completing the Discretionary Contracts Disclosure form are listed below:

1. Download form and complete all fields. Note: All fields must be completed prior to submitting the form.
2. Click the "Print" button and place the copy in submittal response as indicated in the Submittal Checklist. Completed forms should not be submitted electronically.

**FORM 5
LITIGATION DISCLOSURE**

Respond to each of the questions below by checking the appropriate box. Failure to fully and truthfully disclose the information required by this Litigation Disclosure form may result in the disqualification of your proposal from consideration or termination of the contract, once awarded.

1. Have you or any member of your Firm or Team to be assigned to this engagement ever been indicted or convicted of a felony or misdemeanor greater than a Class C in the last five (5) years?

Yes No

2. Have you or any member of your Firm or Team to be assigned to this engagement been terminated (for cause or otherwise) from any work being performed for the City of San Antonio or any other Federal, State or Local Government, or Private Entity?

Yes No

3. Have you or any member of your Firm or Team to be assigned to this engagement been involved in any claim or litigation with the City of San Antonio or any other Federal, State or Local Government, or Private Entity during the last ten (10) years?

Yes No

If you have answered “Yes” to any of the above questions, please indicate the name(s) of the person(s), the nature, and the status and/or outcome of the information, indictment, conviction, termination, claim or litigation, as applicable. Any such information should be provided on a separate page, attached to this form and submitted with your proposal.



City of San Antonio Subcontractor/Supplier Utilization Commitment Form

Solicitation Name: **Terminal A Renovations**

Respondent Name:

Initial each statement and sign below.

In responding to this solicitation for which proposals are not initially evaluated based upon price, I hereby affirm my firm's commitment to meet the subcontracting requirements indicated in the solicitation.

I understand a Small Business Enterprise (SBE) subcontracting goal of thirty percent (30%) applies to this solicitation.

I understand that absent a waiver approved by the Small Business Office, failure to include a completed, signed copy of this Commitment to satisfy the subcontracting goal for this solicitation will render this response NON-RESPONSIVE.

I understand and affirm that absent a waiver approved by the Small Business Office, if asked to submit a price proposal response, I will be required to submit a Subcontractor/Supplier Utilization Plan, and signed Subcontractor/Supplier Letters of Intent with the price proposal response. Failure to submit the Subcontractor/Supplier Utilization Plan, and signed Subcontractor/Supplier Letters of Intent with the price proposal shall render the price proposal response NON-RESPONSIVE.

I understand that my firm's failure to submit the Subcontractor/Supplier Utilization Plan satisfying subcontracting goals and copies of the Subcontractor/Supplier Letters of Intent stated above during price proposal response will be grounds for termination of negotiations and will allow the City to enter into negotiations with another Respondent.

Signature

Date

Printed Name and Title